



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael T. Wuesthoff, et al.

U.S. Serial No.

09/845,233

Filing Date:

April 30, 2001

For:

Method for the Direct Esterification
of Sorbitol With Fatty Acids

Group Art Unit:

1761

Examiner:

Not Yet Known

Attorney Docket No.

DANI-0005USCON

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R.

§1.97(b)(3)

Assistant Commissioner for Patents

P.O. Box 2327

Arlington, VA 22202

Sir:

Pursuant to 37 C.F.R. §1.56 and 1.97(b)(3), Applicants bring to the attention of the Examiner the document listed on the attached Substitute Form PTO 1449 (in duplicate). As this Information Disclosure Statement is being filed before the issuance of the First Office Action no fee is believed to be due. However, if any fee is due; the Commissioner is authorized to charge Deposit Account No. 50-0462. Moreover, please credit any overpayment to Deposit Account No. 50-0462.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I certify that this document, along with any document referred to as being attached, is being deposited with the U.S. Postal Service as first class mail on ~~10/30/01~~ December 2001 under 37 C.F.R. §1.8 and is addressed to the Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

Jayne Webb
Name of person signing document

Jayne Webb
Signature of person signing document

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DEC 31 2001
TC 1700

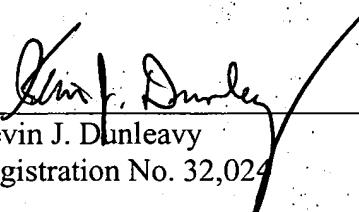
Applicants respectfully request that the Examiner consider the listed document and indicate that it was considered by making appropriate notations on the attached Substitute PTO 1449 form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determined that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Patent Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Respectfully submitted,

Date: December 19, 2001


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O I P E U S C O N

DEC 27 2001

FORM PTO-1449 Modified

List of Patents and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Sheet 1 of 1

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09/845,233

Applicant
Michael T. Wuesthoff, et al.

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Group
1761

U.S. Patent Documents

Examiner Initial	Document No.	Date	Name	Class	Subclass
AA					
AB					
AC					
AD					

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Foreign Patent Documents

Examiner Initial	Document No.	Date	Country	Translation YES	Translation NO
AE					
AF					
AG					

Other Documents (Including Author, Title, Date, Pertinent Pages, Etc.)

AH	Brandner et al., "Drying Oils from Sorbitol and Linseed Fatty Acids", <u>Industrial and Engineering Chemistry</u> , September 1945, Vol. 37, No. 9, pages 809-812
AI	
AJ	

EXAMINER

DATE CONSIDERED